

# Agenda

Executive Roundtable Group  
Wednesday, November 15, 2023  
9:00am – 10:30am

**Please join Sentinel Group, Ruskin Moscou Faltischek P.C. and PKF O'Connor Davies virtually for a Zoom Webinar. See information to register on bottom of page.**

## Introductory Welcome

*Alan Pfeffer, Senior Vice President - Sentinel Group*

## Employment Law Update

*Kimberly Malerba, Partner - Ruskin Moscou Faltischek P.C.*

## Unlocking Value: Assessing Your Retirement Plan Record Keeper and Investment Advisor. We will be reviewing findings from Fidelity's 14<sup>th</sup> Annual Plan Sponsor Attitudes Survey which contains a tremendous amount of useful information

*Alan Pfeffer, QPA, AIF®, SVP - Sentinel Group*

- Are you fully leveraging your record keeping and investment advisory services, or is it time to explore new opportunities?
- Gain the essential insights necessary to evaluate your record keeper and investment advisor effectively
- Learn how to maximize the value of your service providers
- Understand the specific criteria plan sponsors are looking for in record keepers, TPA's and investment advisors
- Discover strategies for crafting optimal plan designs

## ERISA Retirement Plan Compliance

*Lou LiBrandi, EA, CEBS, ChFC, TGPC, Principal - PKF O'Connor Davies*

- Expanded opportunities and additional time to allow for plans to self-correct
- Audit requirements for IRS Form 5500 have changed
- Additional categories of plan expenses on IRS Form 5500
- Additional IRS compliance questions on IRS Form 5500

## Closing Comments and Q&A

*Alan Pfeffer, Senior Vice President - Sentinel Group*

## Register on the link below for Zoom Webinar:

[https://sentinelgroup.zoom.us/webinar/register/WN\\_0r-qNa6AQ7y7O1rwvnF5qw](https://sentinelgroup.zoom.us/webinar/register/WN_0r-qNa6AQ7y7O1rwvnF5qw)